## JC06 Rec'd PCT/PTO 12 MAY 2005

PTO-1390(Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021

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		TEO	ATTORNEY'S DOCKET NO.: IIP-0042-USPCT1				
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 371 CONCERNING A FILING UNDER 35 U.S.C.			U.S. APPLICATION NO. (If known_see 37 CFR 1.5)				
INTERNATIONAL APPLICATION NO	). INTERNATION	IAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/EP2003/050837	14.11	.2003	15.11.2002 and 20.12.2002				
TITLE OF INVENTION SUBSTITUTED INDOLEPYRIDINIUM AS ANTI-INFECTIVE COMPOUNDS							
APPLICANT(S) FOR DO/EO/US: Kesteleyn et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. A This is a FIRST submissio	n of items concerning a filing u	ınder 35 U.S.C. 37	n				
2.	SEQUENT submission of item	ns concerning a fili	ng under 35 U.S.C. 371.				
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below						
4.	Article 31).						
5. A copy of the International	☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
b. 🗌 has been communic	<ul> <li>a. ⊠ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☐ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>						
6.	ation of the International Appli	ication as filed (35	U.S.C. 371(c)(2)).				
<ul><li>a.  is attached hereto</li><li>b.  has been previousl</li></ul>	y submitted under 35 U.S.C. 1	54(d)(4).					
7. Amendments to the claims	of the International Applicatio	n under PCT Artic	le 19 (35 U.S.C. 371(c)(3))				
b. 🔲 have been commun	(required only if not communicated by the International Bure; however, the time limit for meand will not be made.	eau.	,				
8.	ation of the amendments to th	e claims under PC	CT Article 19 (35 U.S.C. 371 (c)(3)).				
9.	☑ One (1) Power of Attorney or Authorization of Agent of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language trans Article 36 (35 U.S.C. 37	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern	document(s) or information	included:					
11.   An Information Disclosure	Statement under 37 CFR 1.97	and 1.98.					
12. An assignment document and 3.31 is included.	and Certificate Under 37 CFR	3.73 (b) for record	ing. A separate cover sheet in compliance with 37 CFR 3.28				
13. A preliminary amendment.			·				
14.	under 37 CFR 1.76.						
15. A substitute specification.		•					
16. 🛛 Two (2) Notification of the	16. 🛛 Two (2) Notification of the Recording of a Change forms.						
17. A computer-readable form							
18. A second copy of the publi	☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the Engli	sh language translation of the	international appli	cation under 35 U.S.C. 154(d)(4).				
20.  Other items or information:	Evorass Mail C	ertification Interns	ational Search Report and Claim for Priority				

This collection of information is required by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APFLICATION	U.S. APK LIGHTY DESCRIPTION, BOTO CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP 2003/050837		ATTORNEY'S DOCKET NUMBER TIP-0042-USPCT1					
21.   The following fees are submitted:				Applicant use	Office use only			
x a) Basic national	fee		\$300	.00		\$ 300.00		
X b) Examination fee\$200.00						\$ 200.00		
			\$50			\$ 500.00		
·	TOTAL OF ABOV			00.00		\$ 1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra sheets			of each additional 50 or fraction RATE f (round up to a whole number				
- 100 =	/50 =				x \$250.00	\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$ 0.00		
CLAIMS	NUMBER FILE	D	NUMBER EXTRA	RA	TE			
Total claims	27	-20	7	x \$	50.00	\$ 350.00		
Independent claim	s 2	- 3	0	x 2	00.00	\$ 0.00		
MULTIPLE DEPEN	IDENT CLAIM(S) (if	applica	ble)	+\$	300.00	\$ 0.00		
			TOTAL OF ABOV	E CALC	ULATIONS =	\$ 350.00		
☐ Applicant claim	s small entity status	s. See 3	37 CFR 1.27. The fees indicate	ed abov	e are reduced	\$ 0.00		
SUBTOTAL =					\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0.00			
TOTAL NATIONAL FEE =					\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00			
			TOTAL	FEES E	ENCLOSED =	\$ 40.00		
Amount to be refunded:					e refunded:		\$	
Amount to be charged:					be charged:		\$ 1,390.00	
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 10-0750/TIP-0042-USPCT1/LAD in the amount of \$1,390.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0750/TIP-0042-USPCT1/LAD. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
						ra A. Donnelly/	ra A Donnelly/	
SEND ALL CORRESPONDENCE TO: Philip S. Johnson, Esq. Johnson & Johnson								
				Nar	Signature Name: Laura A. Donnelly			
One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 USA				Reg. No. 38,435 Attomey for Applicants				
Dated: May 12, 2005								

TIP-0042-USPCT1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kesteleyn et al.

For:

SUBSTITUTED INDOLEPYRIDINIUM AS ANTI-INFECTIVE

**COMPOUNDS** 

## **Express Mail Certificate**

"Express Mail" mailing number:

EL 960329819 US

**Date of Deposit:** 

May 12, 2005

I hereby certify that this entry into the National Phase pursuant to 35 USC 371 contains complete application:

Express Mail Certificate (1 page)
Return Receipt Postcard (1 page)

PCT FORM 1390 Transmittal (2 pages & 2 copies of same)

Copy of International Application as filed (87 pages)
Formal Drawings (1 sheet)
Executed Power of Attorney (1) (1 sheet)

Executed Power of Attorney (1) Executed Assignment (1) with

Cover Sheet (4 sheets)
Certificate Under 37 CFR 3.73(b) (2 sheets)

Notification of the Recording of a Change (2) (2 sheets)

Information Disclosure Statement and

Form 1449 and MPEP 609D (6 pages)
Claim for Priority (11 pages)
Preliminary Amendment (12 pages)

Lavoia A. Obillina

Copy of WO 2004/046143 A1 (91 pages including drawings and ISR)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee; service under 37 CFR 1.10 on the date indicated above is addressed to the Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

 Laurie A. Phillips	
(Typed or printed name of person mailing paper or fee)	
/Laurie A. Phillips/	
(Signature of person mailing paper or fee)	